



Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

AP  
IPW

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/644,762
		Filing Date	August 21, 2003
		First Named Inventor	Tong, et al.
		Art Unit	2874
		Examiner Name	Rahill, Jerry T.
Total Number of Pages in This Submission	8	Attorney Docket Number	4255510008

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form (duplicate)	<input type="checkbox"/> Replacement Drawing(s) (4 sheets) (Figures 1A-1B, 2, and 3)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Siddhesh V. Pandit, Reg. No. 58,572 Bingham McCutchen LLP	
Signature		
Date	January 8, 2007	

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name		
Signature		Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Attorney Docket No.: 4255510008

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Franklin F.K. TONG, et al.

Serial No. : 10/644,762 Group Art Unit: 2874

Filed : August 21, 2003 Examiner: Jerry T. Rahll

For : SUBSTRATE ASSEMBLY FOR OPTICAL COUPLING  
OF LASERS AND RECEIVERS

**AMENDMENT AFTER FINAL**

BOX AF  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The applicant has carefully considered the Final Office Action mailed on October 6, 2006, including allowed claims 1, 2 and 4-8, and the remaining rejections of claim 9 -17. In response, the applicant has amended independent claims 9 and 16 to incorporate the limitations of allowed claim 1. Applicant believes that the amendments presented herein place the remaining claims in condition for allowance. Accordingly, applicant respectfully requests entry of the amendments and reconsideration of the amended claims.